

See top of page

PATENT
Attorney Docket No. 7447.0004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

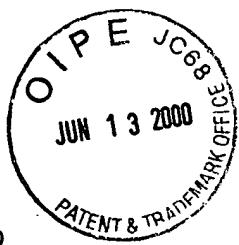
In re Application of:

David L. HECHT et al.

Serial No.: 09/467,509

Filed: December 20, 1999

For: A RECORD AND RELATED
METHOD FOR STORING ENCODED
INFORMATION USING OVERT
CODE CHARACTERISTICS TO
IDENTIFY COVERT CODE
CHARACTERISTICS



Group Art Unit: 2759

Examiner: Unassigned

Assistant Commissioner for Patents
Washington, D.C. 20231

Attention: **BOX MISSING PARTS**

Sir:

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

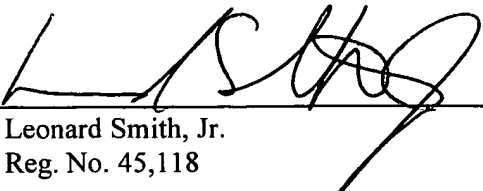
In response to the communication of February 11, 2000, Applicants submit a Declaration/Power of Attorney for filing in the above-identified application, the required fee of \$130.00, and a copy of the Notice of Missing Parts.

Please associate the enclosed declaration with the above identified application.

Please grant any extensions of time required to enter this response and charge any additional required fees to our deposit account 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

By: 
Leonard Smith, Jr.
Reg. No. 45,118

06/14/2000 MMARMOL
01 FC:117

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870.00 OP

Dated: June 13, 2000

LAW OFFICES
FINNEGAN, HENDERSON,
FARABOW, GARRETT,
& DUNNER, L.L.P.
1300 I STREET, N. W.
WASHINGTON, D. C. 20005
202-408-4000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
David L. HECHT et al.)
Serial No. 09/467,509) Group Art Unit: 2759
Filed: December 20, 1999) Examiner: UNASSIGNED
For: A RECORD AND RELATED METHOD FOR)
STORING ENCODED INFORMATION)
USING OVERT CODE CHARACTERISTICS)
TO IDENTIFY COVERT CODE)
CHARACTERISTICS)

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

REQUEST FOR CORRECTED FILING RECEIPT

We are forwarding herewith a copy of a Filing Receipt received from the U.S. Patent and Trademark Office. There are errors in the Filing Receipt as follows:

1. The last name of David L. Hect is misspelled. The correct spelling is **Hecht**.
2. The names of all of the inventors are not listed as shown on the cover page of the application. The names of all of the inventors are as follows: **David L. Hecht, John Lennon, and Ralph Merkle**.
3. The title of the invention is missing the word "and." The correct title of the invention is **RECORD AND RELATED METHOD FOR STORING ENCODED INFORMATION USING OVERT CODE CHARACTERISTICS TO IDENTIFY COVERT CODE CHARACTERISTICS**.

The errors in the Filing Receipt are indicated in highlight on the copy. The correct information is shown in black ink adjacent the erroneous information.

It is respectfully requested that a corrected Filing Receipt be issued as soon as possible.

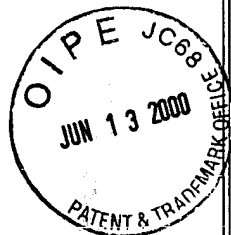
Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

By: 

Leonard Smith, Jr.
Reg. No. 45,118

Dated: June 13, 2000



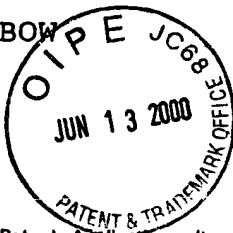
LAW OFFICES

FINNEGAN, HENDERSON,
FARABOW, GARRETT,
& DUNNER, L.L.P.
1300 I STREET, N. W.
WASHINGTON, D. C. 20005
202-408-4000

FILING RECEIPT

CLO/DLC
UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/467,509	12/20/99	2759	\$0.00	07447.0004-0	4	24	3

FINNEGAN HENDERSON FARABOW
GARRET & DUNNER LLP
1300 I STREET NW
WASHINGTON DC 20005

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) DAVID L. HECT. *Hecht*
John Lennon
Ralph Merkle

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 02/10/00

TITLE *AND*
RECORD/RELATED METHOD FOR STORING ENCODED INFORMATION USING OVERT
CODE CHARACTERISTICS TO IDENTIFY COVERT CODE CHARACTERISTICS

PRELIMINARY CLASS: 711

Original Sent to

Regional Office *Palo*on *2/14*

Copy Retained

in Washington DC

on _____

RECEIVED

FEB 14 2000

FINNEGAN HENDERSON FARABOW,
GARRET & DUNNER LLP

DC File copy

DATA ENTRY BY: ARMSTEAD, LORRAINE TEAM: 06 DATE: 02/10/00

(See reverse for new important information)

N/kt 02-14-00 PMA



UNITED STATES DEPARTMENT OF COMMERCE
 Patent and Trademark Office
 Address: COMMISSIONER OF PATENTS AND TRADEMARKS
 Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/467,509	12/20/99 HECT		D 07447,0004-0

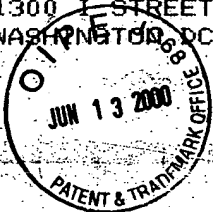
0262/0211

FINNEGAN HENDERSON FARABOW
 GARRET & DUNNER LLP
 1300 I STREET NW
 WASHINGTON, DC 20005

2759

DATE MAILED:

02/11/00



NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$65.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a small entity (statement filed) non-small entity is \$ 962

- ☒ 1. The statutory basic filing fee is:
☐ missing
☐ insufficient

Applicant must submit \$ 760 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☒ 2. The following additional claims fees are due:

\$ 72 for 4 total claims over 20.

\$ _____ for _____ independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:

- ☒ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center
 Initial Patent Examination Division (703) 308-1202

FORM PTO-1533 (REV. 9/98)

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Cost Available Copy

06/14/2000 PHARMOL 00000068 09467509
 01 FC:101 760.00 DP
 02 FC:105 130.00 DP
 03 FC:103 72.00 DP

U.S. GPO 1999 450-5875